

#6  
DUS  
8-2-96  
PATENTS MODN

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: RONALD A. KATZ )  
Serial No.: 08/306,650 ) Examiner: S. Woo  
Filed: September 14, 1994 ) Art Unit: 2608  
For: TELEPHONIC-INTERFACE )  
LOTTERY SYSTEM )  
Docket No.: 9002-1B688US4 )  
(prev. 6646-101N5) )

RECEIVED  
96 JUL 31 PM 3:17  
GROUP 2000

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
(37 C.F.R. § 1.97(c))

707 Wilshire Blvd., 32nd Floor  
Los Angeles, California 90017  
July 26, 1996

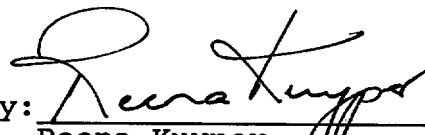
Assistant Commissioner  
for Patents  
Washington, DC 20231

Sir:

The Supplemental Information Disclosure Statement, Forms PTO-1449 (6 pages), and copies of references submitted herewith are being filed after the mailing date of a first Office Action, as provided by 37 C.F.R. § 1.97(c).

Accompanying this transmittal is the fee set forth in 37 C.F.R. § 1.17(p).

Respectfully submitted,

By:   
Reena Kuyper  
Registration No. 33,830

DARBY & DARBY P.C.  
707 Wilshire Blvd., 32nd Floor  
Los Angeles, California 90017  
(213) 243-8000  
Our Docket No. 9002-1B680US4  
(prev. 6646-101N5)

6646\101N5\IDS-TRA

**PATENTS**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Ronald A. Katz )  
Serial No.: 08/306,650 ) Examiner: S. Wood  
Filed: September 14, 1994 ) Art Unit: 2608  
For: TELEPHONIC-INTERFACE )  
LOTTERY SYSTEM )  
Docket No.: 9002-1B680US4 )  
(prev. 6646-101N5) )

RECEIVED  
JUL 31 1996  
GROUP 260  
Am

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

707 Wilshire Blvd., 32nd Floor  
Los Angeles, CA 90017  
July 26, 1996

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.56, documents including patents and other references are cited on the attached Forms PTO-1449 (6 pages) with respect to which there may be a duty to disclose. Applicant is citing these documents to make them of record with respect to his various pending applications. Copies of all the cited documents (of others) are provided.

However, from all the documents listed on the attached Forms PTO-1449, the Examiner's attention is drawn to certain patents and references listed below that may be of interest to certain features of Applicant's system such as conditional calling and DNIS.

**U.S. Patents:**

<u>U.S. Patent Nos.</u>	<u>Issue Date</u>	<u>Filing Date</u>	<u>Patentee</u>
4,162,377	07/24/1979	07/13/1988	Mearns
4,797,910	01/10/1989	05/07/1986	Daudelin
5,017,917	05/21/1991	12/30/1988	Fisher
5,255,183	10/19/1993	05/29/1990	Katz
5,333,185	07/26/1994	06/03/1991	Burke et al.

**Other References:**

Kroemer, F., "TELEBOX", Unterrichtsblätter, year 38/1985, No. 4, pp. 131-141 (Article) - no translation

Kroemer, F., "TELEBOX", Unterrichtsblätter, year 41/1988, No. 2, pp. 67-83 (Article) - no translation

A.J. Waite, "Getting Personal With New Technologies For Telemarketers," DM News, Feb. 15, 1987 at 50

**Specifically:**

U.S. Patent No. 4,162,377 to Mearns discloses that telephone company customers have PINs to use for toll calls, which are tested and used for billing.

In U.S. Patent No. 4,797,910 to Daudelin, a service of operator teams (e.g. Spanish speaking) is commanded by responses to cues. That patent also describes operator loads and directing of calls.

U.S. Patent No. 5,017,917 to Fisher et al. discloses a control for telephone subscribers in relation to E-mail access.

U.S. Patent No. 5,255,183 to Katz discloses a telephonic "time clock" for monitoring the locations of employees based on

ANI data. The patent teaches the use of the called number (column 10, line 29) as to segregate incoming telephone calls according to employers. Thus, called numbers are assigned to different employers to distinguish call-in reports from individual employees. Note column 11, line 55. The date of the patent renders it of incidental interest only (filed May 29, 1990), issued October 19, 1993.

U.S. Patent 5,333,185 to Burke et al., discloses a system for providing information to a foreign called station by utilizing routing and billing information. In that regard, ANI is indicated (column 3, line 49), as is DNIS (column 10, line 39). However, the system function is related to international calls and formatting routing information to provide data.

The article by A.J. Waite briefly describes various structures including automatic call distribution, network-based call ID (DNIS), voice response devices and caller identification. Otherwise, the reference is not considered pertinent.

The articles by Kroemer are in German and Applicant does not have a translation of these articles. However, from a rudimentary knowledge of German, it appears that the articles by Kroemer describe individual teleboxes, which can be accessed if a password is used. Otherwise, in the context of the present claims, these articles are not found to be relevant.

Finally, Applicant brings foreign published applications of his own corresponding U.S. applications or patents to the Examiner's attention. In addition to Applicant's PCT publication

no. WO87/00375 (int. publication date 01/15/1987) and European publication no. 0 342 295 (European publication date 11/23/1989, now European patent no. 0 342 295 B1, issued 03/22/1995) cited previously, his other foreign published applications are listed below. Note that certain of these published applications may now be issued foreign patents.

**Foreign Documents:**

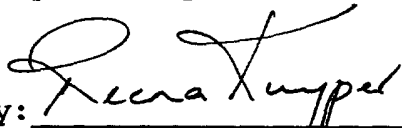
<u>Document Number</u>	<u>Date</u>	<u>Country</u>
1. 500138/88	01/14/1988	Japan
0 229 170 A	07/22/1987	EPC
(0 229 170 B1	02/16/1994)	
0 568 114 A	11/03/1993	EPC
2. 0 620 669 A	10/19/1994	EPC
3. WO93/05483	03/18/1993	PCT
4. 2 230 403 A	10/17/1990	United Kingdom
2,009,937-2	08/21/1990	Canada
(2,009,937	06/11/1996)	
9002131	08/21/1990	France
DE 4005365 A1	08/23/1990	Germany
298158/90	12/20/1990	Japan
5. 41855/91	02/22/1991	Japan

It should be noted that the PCT publication no. WO87/00375 and the publications listed under paragraph 1, correspond to Applicant's application U.S. Serial No. 06/753,299, from which the present application ultimately claims priority. European publication no. 0 342 295 and the documents listed under paragraph 2, correspond to Applicant's U.S. Patent No. 4,845,739, from which the present application ultimately claims priority. The document listed under paragraph 3, corresponds to Applicant's

U.S. Patent No. 5,365,575, which ultimately claims priority from Applicant's U.S. Patent No. 4,845,739. The documents listed under paragraph 4, correspond to Applicant's U.S. Patent No. 5,073,929, from which the present application ultimately claims priority. The documents listed under paragraph 5 correspond to Applicant's U.S. Patent No. 4,939,773, which is directed to different subject matter. Applicant is simply citing all his foreign publications in an attempt to keep the Patent Office apprised of all the foreign publications relating to his corresponding foreign applications. As Applicant's corresponding U.S. patents are indicated above, copies of the foreign publications are not provided. If the Examiner requires any copies, the Examiner is requested to advise the undersigned.

It is respectfully requested that this Supplemental Information Disclosure Statement be considered and made of record with respect to this application.

Respectfully submitted,

By:   
Reena Kuyper  
Registration No. 33,830

DARBY & DARBY P.C.  
707 Wilshire Blvd. 32nd Floor  
Los Angeles, CA 90017  
(213) 243-8000

Docket No. 9002-1B680US4 (prev. 6646-101N5)

6646\101N5\sup-ids